



EXERCISE YOUR OPTION TO SAVE

- ↻ Strategy Centric Trading
- ↻ Group Positions by Option Strategy
- ↻ Analytics and Strategy Scanners
- ↻ Complex Order Entry Made Simple
- ↻ One-Click Closeout of Multi-Leg Orders
- ↻ Supported by 30 Years of Options Experience
- ↻ Multiple Direct Access Trading Platforms for Active Traders

If you're just looking to purchase your favorite stock, or implementing Condor and Butterfly strategies, **EOPTION** has the services you need to succeed. Open and fund your account at www.EOPTION.com.



SPECIAL OFFERS

FREE WIRING

When funding a new account, eOption will reimburse wire charges up to \$30¹ for incoming transfers exceeding \$10,000 or more.

FREE TRADES

Refer your friends and associates and receive a \$50 commission credit² to be used towards future online trades.

COMPANY PROFILE

Supported by over 30 years of options experience, eOption was established in 2007 as a division of Regal Securities, Inc., member FINRA/SIPC, a Chicago area broker/dealer since 1975.

eOption was created with the goal of giving strategy traders the edge. Complex order entry is made simple by combining strategy-centric trading capabilities, analytics, strategy scanners and one-click closeout of multi-leg orders. Our web-based platform³ allows you to trade up to 4-legs from a single screen and displays a real-time quote on each leg of an order, as well as on the overall strategy. Direct access trading and multiple platforms for active traders are also available, giving clients a powerful suite of trading tools and solutions.

eOption offers fast and accurate execution capabilities on all major exchanges, simple order entry and ultra low per contract pricing. As a diversified financial services firm, eOption offers stocks, mutual funds, fixed income products, and no-fee IRAs.

For accurate and straightforward trading, access to experienced brokers, and great value: Exercise your option to save with eOption.

National headquarters are located at 950 Milwaukee Avenue, Suite 101, Glenview, IL 60025 with branch and local offices nationwide.

ACCOUNT PROTECTION

As a member of the Securities Investor Protection Corporation (SIPC) funds are available to meet customer claims up to a maximum of \$500,000 in cash and securities with a \$100,000 cash maximum. Additionally, our clearing firm holds Excess SIPC Insurance of \$200,000,000 in the aggregate, over all customer accounts, subject to a maximum limit of \$900,000 per customer in respect to cash. This "Excess SIPC" protection is in addition to the protection provided by the Securities and Investors Protection Act, which is administered by SIPC and is subject to certain conditions and limitations, details of which are available upon request.

Note SIPC and Excess SIPC provide coverage against loss of securities and cash, not against market depreciation, fluctuation in market value of your securities or a trading loss.

The SIPC brochure is available upon request or at www.sipc.org.

PRODUCTS AND SERVICES

STOCK AND OPTIONS⁴ TRADING

Stocks and option trading including multi-leg, complex strategies with execution on all major exchanges.

BROKER ASSISTANCE

Upon request, broker assisted trading is available for an additional fee. Broker assisted trades are an additional \$15.00.

AUTO TRADING

Auto Trading is the ability to have a stock or option broker execute trade alerts by your newsletter, enhancing your ability to get into and out of trade alerts efficiently. Execute your stock, option, or mutual fund trades automatically with no extra fees.

MUTUAL FUNDS⁵

Select the appropriate mutual fund that suits your investment style and goals with screeners and Lipper Mutual Fund Research. Choose from nearly 600 mutual fund families. Trade mutual funds online or call 1-888-793-5333 to place an order.

EOPTION DIRECT

A direct access trading platform for active traders. This trading platform includes all of the features of our web based product with the added benefit of Level II access. Restrictions apply, visit our website for more details.

QUOTESTREAM DESKTOP

QuoteStream Desktop delivers real-time market information in an easy-to-use and highly configurable interface. Track your investments and access research data with ease. Free for active traders who execute 10 or more trades per month.

EXTENDED HOURS TRADING⁶

For additional investment opportunities, eOption offers online extended hours trading from 8:00 am to 6:00 PM EST. Trade more than 300 stocks, including the NASDAQ 100[®] and the most active NASDAQ issues and the NASDAQ 100 Tracking Stock.

FOREIGN SECURITIES & CURRENCY SETTLEMENT

Access to Canadian exchanges, foreign securities and currency settlement. Foreign currency execution orders are executed at the foreign exchange not against US market makers and during US or foreign exchange hours. Orders can be placed in USD or foreign currency and will be converted to USD periodically.

CASH MANAGEMENT SERVICES

Checking, including direct deposit and automatic investment/withdrawal, the Visa[®] check card, and web bill payment. Account minimums apply.



NO ANNUAL FEE IRAS

Choose the No Annual Fee IRA that is right for you:

- Traditional
- Rollover
- Roth
- Education
- SEP
- Simple
- Solo 401(k)
- Qualified Profit Sharing Plan (PSP)
- Qualified Money Purchase Plan (MPP)

Option trading in IRAs for qualified customers. **Trade:** Puts and Calls, Covered Calls, and Cash Covered Naked Puts and Spreads.

EOPTION ALSO OFFERS:

- American Depository Receipts (ADR's)
- Exchange Traded Funds (ETF's)
- IPO's

EXERCISE YOUR OPTION TO SAVE

MARGIN RATES

DAILY AVERAGE \$ DEBIT BALANCE	INTEREST RATE
Less than 49,999	1.50% above broker call rate
50,000 to 99,999	.75% above broker call rate
100,000 to 249,999	at broker call rate
250,000 to 499,999	.50% below broker call rate
500,000 and above	1.00% below broker call rate

- NO HIDDEN CHARGES
- NO ANNUAL MAINTENANCE FEES
- NO INACTIVITY FEES
- NO VOLUME REQUIREMENTS



DID YOU KNOW?




eOption offers access to a variety of investment products including a full service fixed income department to assist in building a diversified portfolio.

FIXED INCOME⁷





- ➔ Certificates of Deposit (CDs)
- ➔ Corporate & Municipal Bonds
- ➔ Preferred Stock
- ➔ Reverse Convertibles
- ➔ Unit Investment Trusts (UIT's)
- ➔ US Government Treasury & Agency Securities
- ➔ Zero Coupon Bonds

Contact a fixed income specialist at 1-888-897-8133 for more information.




CONTACT INFORMATION

-  1-888-793-5333
- Outside North America
847-375-6080
-  www.eoption.com
-  Corporate Headquarters
950 Milwaukee Ave.
Ste. 101
Glenview, IL 60025

CUSTOMER SERVICE

-  Customer Service Hours
Monday-Friday
8:00 AM to 8:00 PM EST
-  Customer Service Fax
1-877-367-8466
-  E-mail
support@eoption.com
-  VoiceBroker
1-800-498-7121

NEW ACCOUNTS

-  Mailing Address
PO Box 9503
Fort Myers, FL 33906-9503
-  Overnight Address
1400 Colonial Blvd.
Suite 14
Fort Myers, FL 33907
-  New Accounts Fax
1-800-349-9552

- 1 Account must remain open and funded for 90 days to receive reimbursement of wire fees.
- 2 To receive the \$50 commission credit the referred account must be funded with at least \$2,000, for a minimum of 30 days before the credit is issued to your account.
- 3 Online trading has inherent risks due to delay or loss of online service, system performance, market conditions, and erroneous or unavailable market data. Investors should understand these and additional risks before trading.
- 4 Options involve risk and are not suitable for all investors. Certain requirements must be met to trade options through eOption. Before considering any option transaction, please read Characteristics and Risks of Standardized Options provided by the Options Clearing Corporation (OCC). A current copy may also be obtained by calling eOption at 1-888-793-5333 or by mailing your request to eOption, P.O. Box 9503, Fort Myers, FL 33906-9503. Because of the importance of tax considerations, eOption recommends consulting your tax advisor before contemplating any options transaction.
- 5 Investors should consider the risks, the investment objectives, charges and expenses outlined in the prospectus carefully before investing in any mutual fund. For a prospectus containing complete information, contact the fund you are considering or eOption at 1-888-793-5333. Past performance is no guarantee of future results. Principal value and investment returns will fluctuate with changes in market conditions. An investor's shares, when redeemed, may be worth more or less than their original cost. Mutual funds are not guaranteed or insured by the FDIC or any other government agency.
- 6 Extended hours trading involves unique risks, which may include greater price volatility, less liquidity, and wider bid/ask spreads than during regular market hours. Visit www.eoption.com for more details.
- 7 Clients should take special care in understanding all of the risks involved prior to investing in fixed income products as they are not suitable for all investors. Investors should fully understand all of its terms and carefully read all disclosure statements.